

EXECUTIVE SCREENING COMPLIANCE AT A GLANCE



When conducting background screenings and pre-employment investigations, it's imperative that your organization maintains strict compliance with all applicable laws and regulations. Bishops Services has created this at-a-glance guide to help you better understand some of the laws governing screening by industry.*

A Bishops Due Diligence Investigation uncovers:

- Identity Risks
- Conflicts of Interest
- Criminal Records
- Financial Crimes
- Links to Organized Crime
- Civil Litigation
- Character Issues
- Omissions of Material Information
- Inflated Qualifications

	FAIR CREDIT REPORTING ACT	FINANCIAL INDUSTRY REGULATORY AUTHORITY (FINGER PRINTING)	SECURE AND FAIR ENFORCEMENT FOR MORTGAGE LICENSING ACT	FEDERAL DEPOSIT INSURANCE CORPORATION, SECTION 19	USA PATRIOT ACT - "KNOW YOUR CUSTOMER" (AML/TERRORIST FINANCING)	OFFICE OF FEDERAL CONTRACT COMPLIANCE PROGRAMS	DOT/FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION	FOOD & DRUG ADMINISTRATION	UNITED STATES CITIZENSHIP AND IMMIGRATION SERVICES (FORM I-9)
Financial Services	x	x	x	x	x				x
Healthcare & Life Sciences	x					pd		x	x
Recruiting & Staffing	x	pd	pd	pd	pd	pd	pd	pd	x
Transportation	x					pd	x		x
Education	x								x
Government	x					x		pd	

x = required; pd = position dependent

*This chart does not outline all of the regulations governing pre-employment screening. Outside of these federal regulations, there are a myriad of local, state and foreign laws that dictate what is allowed when screening potential employees.

Compliant Corporate Due Diligence

Run afoul of compliance rules or privacy laws and your organization could be slapped with huge fines and penalties and even the possibility of prison time. The best way to ensure your corporate due diligence is handled discreetly and ethically is to work with a well-established, licensed screening provider with a proven methodology and compliance focus at every turn.